

# *Royce' Methodology*

## Software Engineering I Lecture 20

Bernd Bruegge  
*Applied Software Engineering*  
*Technische Universitaet Muenchen*

# Royce's Methodology

- Demonstration-based approach
  - Identify performance issues and assess intermediate artifacts.
- Architecture-first approach
  - Focus on critical use cases, architecture decisions, and life-cycle plans before committing resources. Address architecture and plan together
- Iterative life-cycle process
  - Each iteration should focus on a specific risk and move the requirements, architecture, and plan in a balanced manner
- Component-based development
  - Minimize human generated lines of code. Use commercial components.
- Change management environment
  - Automate processes to deal with changes introduced by iterations.
- Round-trip engineering
  - Couple models and source code, decreasing cost of change
- Objective quality control
  - Use metrics and quality indicators to assess progress
- Visual modeling languages.

# How much Planning? (Royce)

- The project plan is developed iteratively like the software
  - The plan is refined as the stakeholders increase their knowledge in the application and solution domain
- Planning errors are treated like software defects
  - Early fixing means less impact on project success.
- WBS is organized around software life cycle activities
  - The first level elements in the WBS represent workflows (i.e., management, requirements, design,...).
  - The second level elements represent phases (i.e., inception, elaboration, construction, and transition).
  - The third level elements correspond to artifacts produced during the phases.
- Estimation:
  - Compute the initial estimate with a model
  - Refine it with the project manager, developers, and testers
- After each iteration, revise plan and estimate to reflect the performance of the project and to address planning errors.

# How much Reuse? (Royce)

- Buy versus build decisions are treated as risks that should be confronted early in the life cycle (e.g., in the first iterations of the elaboration phase).
  - When components are reused in more than one project, the return on investment can be further increased.
- Key principle: Minimize the amount of human-generated source code
  - Reuse commercial components
  - use code generation tools
  - Use high-level visual and programming languages.
- Reuse is treated as a return on investment decision which decreases development time.
  - Mature components and tools also reduce time to repair defects
  - Immature components and tools increase quality problems drastically to off-set any economic benefit.

# How much Modeling? (Royce)

- Modeling artifacts based on the activities of the Unified Process
  - Management Set:
    - Artifacts associated with planning and monitoring activities
    - Ad hoc notations to capture the “contracts” among project participants and other stakeholders
    - Problem statement, SPMP, SCMP and status descriptions
  - Requirements set
    - Visionary scenarios, prototypes for user interfaces, requirements analysis model.
  - Design set
    - Software architecture and interface specifications
  - Implementation set
    - Source code, components, executables
  - Deployment set
    - Deliverables negotiated between project manager and client
    - Executable, user manual and administrator manual
- Test artifacts are part of each of the above sets.



# How much Process? (Royce)

- **Scale** (Most important factor in determining the process)
  - Smaller Projects (1-10 participants)
    - Require much less management overhead
    - Performance depends on technical skills of participant and on tools
    - Focus on technical artifacts, few milestones, no formal processes
  - Larger Projects (more than 10 participants)
    - Management skills of team leaders becomes primary performance bottleneck
    - Well-defined milestones, focus on change management artifacts
- **Stakeholder cohesion**
  - Cooperating set of stakeholders: flexible plan, informal agreements
  - Contention among stakeholders: formal agreements, well-defined processes
- **Process flexibility**
  - Rigor of the process definition impacted by rigor of contract
- **Process maturity**
  - Organizations with mature processes are easier to manage
- **Architectural risk**
  - Demonstrate feasibility of the architecture before full-scale commitment
- **Domain experience**
  - Domain expertise shorten the earlier phases of the life cycle.

# How much Control? (Royce)

3 management metrics and 4 quality metrics:

- Management metrics:
  - **Work**. How many tasks have been completed compared to the plan?
  - **Costs**. How many resources have been consumed compared to the budget?
  - **Team dynamics**. How many participants leave the project prematurely and how many new participants are added?
- Quality metrics:
  - **Change**. How many change requests are issued over time?
  - **Breakage**. How much source code is reworked per change?
  - **Rework**. How much effort is needed to implement a change?
  - **Mean time between failures**. How many defects are discovered per hours of testing?

# Summary of Royce's Methodology

Issues	Methods
<b>Planning</b>	Evolutionary WBS Initial model-based estimation of cost and schedule (COCOMO II) Iteration planning, including all stakeholders
<b>Modeling</b>	Critical use cases and driving requirements first Architecture first, UML, Round-trip engineering
<b>Reuse</b>	Buy vs. build decisions during elaboration. Focus on mature components
<b>Process</b>	Scale, Stakeholder cohesion, Process flexibility, Process maturity, Architectural risk, Domain experience
<b>Control</b>	Management indicators (work, cost, team dynamics) Quality indicators (change traffic, breakage, rework, MTBF)

# References



# Summary



# Backup and Additional Slides

